

**PATENT**  
U.S. Patent No. 7,047,218  
Serial No. 09/516,792  
Docket No. 10392/46701

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Steven M.H. WALLMAN, et al.

Serial No.: 09/516,792

Patent No.: 7,047,218

Filed: March 1, 2000

Issued: May 16, 2006

For: Method and Apparatus for Trading  
Securities or Other Instruments on  
Behalf of Customers

Examiner: Stefanos Karmis

Art Unit: 3624

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION  
TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.324**

SIR:

Pursuant to 37 C.F.R. § 1.324, the inventors and assignee of the above-identified patent, by their undersigned counsel, hereby request the Office to issue a Certificate of Correction to correct the inventorship in the patent as follows.

Please ADD the following inventor:

Name: **LUDWIG B. CHINCARINI**

Current address: 521 Black Hills Drive, Claremont, CA 91711

An error occurred in naming STEVEN M. H. WALLMAN as the sole inventor of this application. This error occurred without deceptive intent.<sup>1</sup> The actual inventors of this patent are --Steven M. H. WALLMAN and Ludwig B. CHINCARINI--

The following documents, as required under 37 C.F.R. 1.324, accompany this request:

1. A statement by co-inventor Ludwig B. CHINCARINI;
2. A statement by co-inventor Steven M. H. WALLMAN; and
3. A statement from the assignee of the patent.

It is respectfully requested that the patent be corrected by changing the inventors named in the original application from "Steven M. H. WALLMAN" to --Steven M. H. WALLMAN and Ludwig B. CHINCARINI--, and that a certificate of correction be issued to that effect.

Please charge Deposit Account No. 11-0600 in the amount of \$130.00 representing the fee set forth in 37 C.F.R. §1.20(b).

The Office is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 11-0600. The Office is invited to contact the undersigned at 202-220-4200 to discuss any matter regarding this patent.

Respectfully submitted,

Date: February 7, 2011

/ Brian S. Mudge /

Brian S. Mudge

Registration No. 40,738

KENYON & KENYON LLP  
1500 K Street, NW, Suite 700  
Washington, DC 20005-1257  
Telephone: (202) 220-4200  
Facsimile: (202) 220-4201

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<sup>1</sup> It is respectfully noted that a petition to correct inventorship (as requested herein) was filed in the subject application on July 20, 2000, but the corrected inventorship was not reflected in the issued patent.

UNITED STATES PATENT AND TRADEMARK OFFICE

**CERTIFICATE OF CORRECTION**

**PATENT NO.** : 7,047,218 B1 Page 1 of 1  
**DATED** : 16 May 2006  
**FILED** : 01 March 2000  
**INVENTOR(S)** : Steven M. H. WALLMAN, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**In the Title Page, change:**

“(75) Inventor: **Steven M. H. Wallman**, Great Falls, VA (US)” to

--(75) Inventors: **Steven M. H. Wallman**, Great Falls, VA (US);

**Ludwig B. Chincarini**, Claremont, CA (US)--.

**MAILING ADDRESS OF SENDER:**

**Patent No.: 7,047,218 B1**

Brian S. Mudge  
KENYON & KENYON LLP  
1500 K Street, N.W., Suite 700  
Washington, DC 20005

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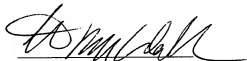
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**STATEMENT OF CO-INVENTOR AGREEING  
TO CHANGE IN INVENTORSHIP IN PATENT  
(37 C.F.R. Section 1.324(b)(2))**

SIR:

I, Steven M. H. Wallman, the co-inventor (and the only inventor originally named in the above-referenced issued patent) do hereby state that I agree to the change in inventorship of this patent adding co-inventor Ludwig B. Chincarini.

Date: 2/2/11

  
STEVEN M. H. WALLMAN

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**ASSIGNEE'S STATEMENT PURSUANT TO 37 C.F.R. § 1.324(b)(3)**

SIR:

I, Steven M. H. Wallman, Chief Executive Officer of Foliofn, Inc., am authorized to act on behalf of Foliofn, Inc. ("Assignee"), the assignee of all right, title and interest to U.S. Patent No. 7,047,218. I understand that the documents establishing chain of title through assignment with respect to the '218 patent have been recorded with the United States Patent Office as follows:

Reel	Frame
010819	0971
011498	0724
017644	0711
017644	0722
017644	0724

In addition, an Assignment from Ludwig B. Chincarini to Foliofn, Inc., copy attached as Exhibit A hereto, is being submitted to the United States Patent and Trademark Office for recordation. Pursuant to 37 C.F.R. § 1.324(b)(3), I hereby state that Assignee agrees to the change in inventorship of this patent adding co-inventor Ludwig B. Chincarini.

Date: 2/2/11



STEVEN M. H. WALLMAN  
CEO, Foliofn, Inc.

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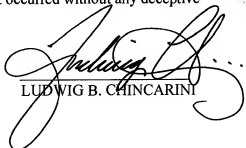
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**STATEMENT OF PERSON BEING ADDED BY  
PETITION TO CHANGE INVENTORSHIP IN PATENT  
(37 C.F.R. Section 1.324(b)(1))**

SIR:

I, Ludwig B. Chincarini, the person who is being added as an inventor by the request being submitted to correct the inventorship of this patent, do hereby declare that the inventorship error in failing to include my name as an inventor on this patent occurred without any deceptive intention on my part..

Date: 10/10/10

  
LUDWIG B. CHINCARINI